

MEETING:	Audit and Governance Committee
DATE:	Wednesday, 20 January 2021
TIME:	4.00 pm
VENUE:	THIS MEETING WILL BE HELD VIRTUALLY

AGENDA

Procedural/Administrative Items

1. Declarations of Pecuniary and Non-Pecuniary Interest
2. Minutes (*Pages 5 - 22*)

To receive the minutes of the meeting held on the 2nd December, 2020.

Items for Discussion/Decision

3. Annual Governance Review Process (*Pages 23 - 26*)

The Head of Internal Audit, Anti-Fraud and Assurance will submit a report providing an update of the Annual Governance Review process that has been determined for 2020/21 and which will be used to influence and assist in the preparation of the Council's Statutory Annual Governance Statement for 2020/21.

4. Risk Management Update (*Pages 27 - 28*)

The Head of Internal Audit, Anti-Fraud and Assurance will submit a report providing an update on the development of the new Risk Management process and summarising the current risks on the Strategic Risk Register.

5. Internal Audit Planning Consultation Paper for 2021/22 (*Pages 29 - 32*)

The Head of Internal Audit, Anti-Fraud and Assurance will submit a report outlining the annual audit planning process and seeking the Committees views with regard to the potential projects for inclusion within the draft Internal Audit Plan for 2021/22.

6. Internal Audit Progress Report 2020/21 (*Pages 33 - 44*)

The Head of Internal Audit, Anti-Fraud and Assurance will submit a report providing a summary of the Internal Audit activity completed, and the key issues arising from it, for the period 16th November to 31st December, 2020.

7. External Audit - Annual Audit Letter (*Pages 45 - 64*)

The Council's External Auditor (Grant Thornton) will submit their Annual Audit Letter summarising the key findings arising from the work undertaken for the Council and its subsidiaries for the year ended 31st March, 2020, detailing the reports issued including those submitted to Council on the 26th November and this Committee on the 28th October, 2020, the audit fees charged (including the way these have been calculated) and outlining the scope of the audit and the arrangements for undertaking additional work for which separate fees were

applicable.

Items for Information

8. External Audit - Update Report

The Council's External Auditor (Grant Thornton) will report on recent work undertaken together with a summary of any emerging national issues and developments.

9. Business Improvement, Human Resources and Communications Governance Domain Update Report (*Pages 65 - 70*)

The Service Director Business Improvement, Human Resources and Communications will submit an information report giving an overview of the functions of the Business Improvement, Human Resources and Communications Business Unit together with information about the progress against the assurance programme in the areas of Performance Management and Equality and Inclusion.

10. LGA Recovery and Renewal Panel Findings (*Pages 71 - 84*)

The Executive Director Core Services will submit, for information, a report submitted to Cabinet on the 16th December, 2020 on the findings from the Local Government Association (LGA) Recovery and Renewal Panel that took place on the 20th August, 2020.

11. Audit Committee Work Plan (*Pages 85 - 88*)

The Committee will receive the indicative Audit Committee Work Plan for the period 3rd June 2020 to 14th April, 2021.

To: Chair and Members of Audit and Governance Committee:-

Councillors Lofts (Chair), Richardson, Barnard, P. Birkinshaw, Ms K Armitage, Ms D Brown, Mr S Gill, Mr P Johnson and Mr M Marks; together with Co-opted members Ms K Armitage, Ms D Brown, Mr S Gill, Mr P Johnson and Mr M Marks

Sarah Norman, Chief Executive

All Executive Directors

Shokat Lal, Executive Director Core Services

Neil Copley, Service Director Finance (Section 151 Officer)

Rob Winter, Head of Internal Audit and Corporate Anti-Fraud

Ian Rooth, Head of Financial Services

Alison Salt, Corporate Governance and Assurance Manager, Internal Audit Services

Michael Potter, Service Director Business Improvement and Communications

Council Governance Unit – 3 copies

Please contact William Ward on email governance@barnsley.gov.uk

Tuesday, 12 January 2021